

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: M. A. Ali et al. : Group Art Unit:
 Serial No.: : Examiner:
 Filed: :
 For SYSTEM AND METHOD FOR PERFORMING :
 ENGINEERING DESIGN :

INFORMATION DISCLOSURE STATEMENT

- ☐ 1 **PTO-1449 Pursuant to 37 CFR 1.97(b)**
 [within 3 months of filing or prior to 1st Office Action] N/C
- ☒ 2 **Statement Pursuant to 37 CFR 1.97(c)**
 [before Final Office Action or Allowance] N/C
- ☐ 3 **Fee Payment Pursuant to 37 CFR 1.97(c)**
 [before Final Office Action or Allowance] \$240.00
- ☐ 4 **Petition, Statement & Petition Fee Payment Pursuant to 37 CFR 1.97(d)**
 [before issue fee payment] \$130.00

Honorable Assistant Commissioner for Patents
 Washington, D.C. 20231

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☐ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☒ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☒ 8 The required Statement made in item 11 below; or
- ☐ 9 The \$240.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.

☐ 10 37 CFR §1.97(d): [before issue fee payment]; and

a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR §1.17(i) is authorized in item 14 below; and

b) The required Statement is stated in item 11 below.

☒ 11 Statement under 37 CFR 1.97(e)

☐ 12 Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or

☐ 13 No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

☒ 14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 07-0868. An original and two (2) copies of this document are enclosed.

Respectfully submitted,

Marvin Snyder

Attorney - Marvin Snyder

Registration No. 20,126

Phone: (518) 387-6189

or (518) 387-7122

January 29, 2001

Date

General Electric Company
CRD Patent Docket Rm 4A59
P.O. Box 8, Bldg. K-1 - Salamone
Schenectady, New York 12301
Customer Number: 006147

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D C 20231, on

Date of Deposit

Type or Print Name

Signature

Blanche M. du Moulin
Blanche M. du Moulin

FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
RD-27,384

SERIAL NO.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT
LIST OF ITEMS

Applicant

Mohamed A. Ali et al

Filing Date

Group

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER		DATE	NAME	CLASS	SUBCLAS S	FILING DATE IF APPROPRIATE
	A1	5,826,252	10/20/98	Wolters, Jr., et al	707	1	Jun. 28, 1996
	A2	6,134,594	10/17/00	Helland, et al	709	229	Oct. 28, 1997
	A3						
	A4						
	A5						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	B1					
	B2					

OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, etc.)

C1	Gerald J. Hahn , Necip Doganaksoy, Roger Hoerl, THE EVOLUTION OF SIX SIGMA, 11/01/99, pages 317-326
C2	
C3	
C4	
C5	
C6	
C7	
C8	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

DECLARATION FOR PATENT APPLICATION

Docket Number
RD-27,384/45A

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SYSTEM AND METHOD FOR PERFORMING ENGINEERING DESIGN

the specification of which is attached hereto unless the following box is checked:

☐ was filed on _____ as United States Application Number or PCT International Application Number _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56. I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application

Priority Claimed

☐ Yes ☐ No

(Number)

(Country)

(Day/Month/Year Filed)

(Number)

(Country)

(Day/Month/Year Filed)

☐ Yes ☐ No

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

60/178,600

January 28, 2000

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

I hereby claim the benefit under Title 35, United States Code §120 of any United States Application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(Application Number)

(Filing Date)

(Status - patented, pending, abandoned)

(Application Number)

(Filing Date)

(Status - patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, **CUSTOMER NO. 006147.**

Douglas E. Stoner, Reg. No. 26,509, Marvin Snyder, Reg. No. 20,126, Donald S. Ingraham, Reg. No. 33,714, Jill M. Breedlove, Reg. No. 32,684, Noreen C. Johnson, Reg. No. 38,929, Ronald E. Myrick, Reg. No. 26,315, Henry J. Policinski, Reg. No. 26,621, Jay L. Chaskin, Reg. No. 24,030, James W. Mitchell, Reg. No. 25,602, Bernard Snyder, Reg. No. 24,843 and Catherine J. Winter, Reg. No. 38,364.

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Address all correspondence to: **General Electric Company**
CRD Patent Docket Rm 4A59
P.O. Box 8, Bldg. K-1 - Salamone
Schenectady, New York 12301

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SOLE OR FIRST INVENTOR:

Full name: Mohamed A. Ali

First Name

Middle Name

Last Name

Signature: _____ Date _____

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City and State

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SECOND JOINT INVENTOR:

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First Name

Middle Name

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THIRD JOINT INVENTOR:

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First Name

Middle Name

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City and State

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FOURTH JOINT INVENTOR:

Full name: Jeffrey LeMonds

First Name

Middle Name

Last Name

Signature: _____ Date _____

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City and State

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FIFTH JOINT INVENTOR:

Full name: Andrew J. Poslinski

First Name

Middle Name

Last Name

Signature: _____

Date _____

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City and State

Citizenship: USAPost Office Address: 20 Glade Drive, Schenectady, New York

SIXTH JOINT INVENTOR:

Full name: Mark A. Preston

First Name

Middle Name

Last Name

Signature: _____

Date _____

Residence: Niskayuna, New York

City and State

Citizenship: USAPost Office Address: 2177 Morrow Avenue, Niskayuna, New York 12309

SEVENTH JOINT INVENTOR:

Full name: Brion D. Sarachan

First Name

Middle Name

Last Name

Signature: _____

Date _____

Residence: Schenectady, New York

City and State

Citizenship: USAPost Office Address: 183 Benjamin Street, Schenectady, New York 12303

EIGHTH JOINT INVENTOR:

Full name: Gerald G. Trantina

First Name

Middle Name

Last Name

Signature: _____

Date _____

Residence: Schenectady, New York

City and State

Citizenship: USAPost Office Address: 1405 Rosehill Boulevard, Schenectady, New York 12309